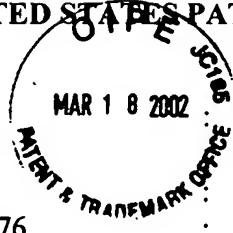


#3

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of
Albazz, I. et al



Date: March 7, 2002

Serial No.: 10/004,076

IBM Corporation - T81/503
P.O. Box 12195
Research Triangle Park,
North Carolina 27709

Filed: Oct. 30, 2001

For: System and Method for
Representation of Business
Policy and Governing the
Conduct

Group Art Unit: 2161
Examiner: To be assigned

Preliminary Amendment

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice of Omitted Item(s) in a Nonprovisional Application mailed on, 1/7/02, please amend the above-identified application prior to the examination of the subject application as follows:

In the Specification:

Beginning with the second full paragraph on page 6 of the Specification, please substitute the following:

Figure 3 is an activity diagram showing the creation and publication of Policy Instances in a seller organization; and

Figure 4 is an activity diagram showing the creation and storage of a Product List Filter.